



#9

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/099,812	
			Filing Date	March 18, 2002	
			First Named Inventor	Kevin M. Short	
			Art Unit	2131	
			Examiner Name	Jenise E. Jackson	
Sheet	1	of	1	Attorney Docket Number	CAOT-P02-001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
JJS	AA	US-6631166-B1	10-07-2003	Carroll	RECEIVED FEB 11 2004 Technology Center 2100
	AB	US-6363153-B1	03-26-2002	Parker et al.	
	AC	US-6137045-B1	10-24-2000	Short et al.	
	AD	US-5923760-B1	07-13-1999	Abarbanel et al.	
	AE	US-5857165-B1	01-05-1999	Coron et al.	
	AF	US-5857025-B1	01-05-1999	Anderson	
	AG	US-5818712-B1	10-06-1998	Glenn, Sr.	
	AH	US-5680462-B1	10-21-1997	Miller	
	AI	US-5606144-B1	02-25-1997	Dabby	
	AJ	US-5508473-B1	04-16-1996	Chafe	
	AK	US-5479512-B1	12-26-1995	Weiss	
	AL	US-5473694-B1	12-05-1995	Carroll et al.	
	AM	US-5432697-B1	07-11-1995	Hayes	
	AN	US-5365589-B1	11-15-1994	Gutowitz	
	AO	US-5291555-B1	03-01-1994	Cuomo et al.	
	AP	US-5245660-B1	09-14-1993	Pecora et al.	
	AQ	US-5048086-B1	09-10-1991	Bianco	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
JS	CA	Short, K., "Steps Towards Unmasking Secure Communications," International Journal of Bifurcation and Chaos, vol. 4, No. 4, 1994, pp. 959-977.		
	CB	Hayes, S., Grebogi, C., and Ott, E., "Communicating with Chaos", Phys. Rev. Lett., 70, 3031, 1993.		
	CC	Yang, Tao, "A Survey of Chaotic Secure Communication Systems", International Journal of Computational Cognition, Vol. 2, No. 2, pp. 81-130, June 2004. (http://www.YangSky.com/yangijcc.htm)		
	CD	Hunt, E. R., "Stabilizing High-Period Orbits in a Chaotic System: The Diode Resonator", Physical Review Letters, Vol. 67, No. 15, pp. 1953-1957, 7 October 1991.		
JS	CE	Non-final office action issued by Examiner James Seal on 2 April 2000, in the prosecution of U.S. Patent Application Serial No. 09/436,910, now issued as Patent Number 6,363,153 (Method and apparatus for secure digital chaotic communication).		
	CF	Final office action issued by Examiner James Seal on 21 December 2000, in the prosecution of U.S. Patent Application Serial No. 09/436,910, now issued as U.S. Patent Number 6,363,153 (Method and apparatus for secure digital chaotic communication).		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Jenise Jackson	Date Considered	4/19/03
-----------------------	----------------	--------------------	---------